BEFORE THE REAL ESTATE COMMISSION

STATE OF NEVADA

SHARATH CHANDRA, Administrator,
REAL ESTATE DIVISION, DEPARTMENT
OF BUSINESS & INDUSTRY,
STATE OF NEVADA.

Case No. 2017-1770



ULI 0 4 2019



Petitioner,

VS.

RICH BARON,

Respondent.

COMPLAINT AND NOTICE OF HEARING

The REAL ESTATE DIVISION OF THE DEPARTMENT OF BUSINESS AND INDUSTRY OF THE STATE OF NEVADA ("Division"), by and through its counsel, Aaron D. Ford, Attorney General of the State of Nevada, and Dennis L. Belcourt, Deputy Attorney General, hereby notifies RESPONDENT RICH BARON ("RESPONDENT") of an administrative hearing before the STATE OF NEVADA REAL ESTATE COMMISSION ("Commission"). The hearing will be held pursuant to Chapters 233B and Chapter 645 of the Nevada Revised Statutes ("NRS") and Chapter 645 of the Nevada Administrative Code ("NAC"). The purpose of the hearing is to consider the allegations stated below and to determine if the RESPONDENT should be subject to an administrative penalty as set forth in NRS 645.633 and/or NRS 645.630 or NRS 622.400, and the discipline to be imposed, if violations of law are proven.

JURISDICTION

RESPONDENT was at all relevant times mentioned in this Complaint licensed as a broker and is therefore subject to the jurisdiction of the Division and the Commission, and the provisions of NRS chapter 645 and NAC chapter 645.

FACTUAL ALLEGATIONS

1. At all relevant times mentioned in this Complaint, RESPONDENT held a broker's real estate license with the State of Nevada, license number B.1001166.INDV.

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- 2. RESPONDENT listed a property at 615 Pacific Time Ct., North Las Vegas, Nevada (the "Property") belonging to REO Investment Advisors IV LLC ("REO"), of which he was a manager.
- 3. In and around June 30, 2017, Ms. Corey Holden ("Complainant"), through her agent, put in an offer on the Property.
- 4. Complainant and REO, represented by RESPONDENT, negotiated but failed to complete a sale on the Property.
- 5. On August 7, 2017, Complainant submitted a Statement of Fact to the Division complaining concerning RESPONDENT.
- 6. On or about August 8, 2017, the Division sent a certified letter to RESPONDENT at his address on 827 Boulder Highway, Henderson, NV 89015 requesting the transaction file on the Property and a sworn declaration.
- 7. At all times alleged herein, the 827 Boulder Highway address was RESPONDENT'S address of record with the DIVISION.
- 8. On or about August 16, 2017, the certified letter to RESPONDENT was returned with notice from the United States Postal Service that said "Return to Sender-Vacant-Unable to Forward."
- 9. RESPONDENT had vacated the 827 Boulder Highway address as early as 2016 and was the subject of an earlier complaint for a violation of NRS 645.550(1).
- 10. The Division discovered a California mailing address and an email address for RESPONDENT and used those addresses to send him a certified letter and several emails concerning the complaint in December 2017 and January 2018.
 - 11. RESPONDENT submitted an affidavit in response on January 22, 2018.
- 12. RESPONDENT did not and has not provided the transaction file for the Property as requested by the Division.

VIOLATIONS

RESPONDENT has committed the following violation of law:

1. RESPONDENT violated NRS 645.550(1) or NAC 645.627, by failing to maintain a definite place of business within this state.

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- 2. RESPONDENT violated NRS 645.633(1)(h) by failing to maintain a current address of record with the Division.
- 3. RESPONDENT violated NRS 645.635 or NAC 645.605(11)(a) by failing to produce to the Division the transaction file on request of the Division.

DISCIPLINE AUTHORIZED

- 4. Pursuant to NRS 645.630 and NRS 645.633, the Commission is empowered to impose an administrative fine of up to \$10,000 per violation against RESPONDENT and further to suspend, revoke or place conditions on the license of RESPONDENT.
- 5. Additionally, under NRS Chapter 622, the Commission is authorized to impose costs of the proceeding upon RESPONDENT, including investigative costs and attorney's fees, if the Commission otherwise imposes discipline on RESPONDENT.
- 6. Therefore, the Division requests that the Commission take such disciplinary action as it deems appropriate under the circumstances.

NOTICE OF HEARING

PLEASE TAKE NOTICE, that a disciplinary hearing has been set to consider the Administrative Complaint against the above-named Respondent in accordance with Chapters 233B and 645 of the Nevada Revised Statutes and Chapter 645 of the Nevada Administrative Code.

THE HEARING WILL TAKE PLACE on November 5, 2019 commencing at 9:00 a.m., or as soon thereafter as the Commission is able to hear the matter, and each day thereafter commencing at 9:00 a.m. through November 7, 2019 or earlier if the business of the Commission is concluded. The Commission meeting will be held on November 5, 2019, at the Nevada State Business Center, 3300 West Sahara Avenue, 4th Floor – Nevada Room, Las Vegas, Nevada 89102. The meeting will continue on November 6, 2019 at the Nevada State Business Center, 3300 West Sahara Avenue, 4th Floor – Nevada Room, Las Vegas, Nevada 89102, commencing at 9:00 a.m., and on November 7, 2019, should business not be concluded, starting at 9:00 a.m. at the Nevada State Business Center, 3300 West Sahara Avenue, 4th Floor – Tahoe Room, Las Vegas, Nevada 89102.

STACKED CALENDAR: Your hearing is one of several hearings scheduled at the same time as part of a regular meeting of the Commission that is expected to last from November 5, 2019 through November 7, 2019, or earlier if the business of the Commission is concluded. Thus, your hearing may be continued until later in the day or from day to day. It is your responsibility to be present when your case is called. If you are not present when your hearing is called, a default may be entered against you and the Commission may decide the case as if all allegations in the complaint were true. If you have any questions please call Evelyn Pattee, Commission Coordinator (702) 486-4074.

YOUR RIGHTS AT THE HEARING: except as mentioned below, the hearing is an open meeting under Nevada's open meeting law, and may be attended by the public. After the evidence and arguments, the commission may conduct a closed meeting to discuss your alleged misconduct or professional competence. You are entitled to a copy of the transcript of the open and closed portions of the meeting, although you must pay for the transcription.

As the Respondent, you are specifically informed that you have the right to appear and be heard in your defense, either personally or through your counsel of choice. At the hearing, the Division has the burden of proving the allegations in the complaint and will call witnesses and present evidence against you. You have the right to respond and to present relevant evidence and argument on all issues involved. You have the right to call and examine witnesses, introduce exhibits, and cross-examine opposing witnesses on any matter relevant to the issues involved.

You have the right to request that the Commission issue subpoenas to compel witnesses to testify and/or evidence to be offered on your behalf. In making the request, you may be required to demonstrate the relevance of the witness' testimony and/or evidence. Other important rights you have are listed in NRS 645.680 through 645.990, NRS Chapter 233B, and NAC 645.810 through 645.875.

The purpose of the hearing is to determine if the Respondent has violated NRS 645 and/or NAC 645 and if the allegations contained herein are substantially proven by the evidence presented and

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1	to further determine what administrative penalty is to be assessed against the RESPONDENT, if any,
2	pursuant to NRS 645.235, 645.633 and or 645.630.
3	DATED this 3 day of October, 2019.
4	State of Nevada
5	Department of Business and Industry Real Estate Division
6	- K Marah
7	By: SHARATH CHANDRA, Administrator
8	3300 West Sahara Avenue, Suite 350 Las Vegas, Nevada 89102
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10	AARON D. FORD
11	Attorney General
12	By. DEL COURT (Par No. 2659)
13	DENNIS L. BELCOURT (Bar. No. 2658) Deputy Attorney General
14	555 E. Washington Avenue, Suite 3900 Las Vegas, Nevada 89101
15	(702) 486-3326 Attorneys for Real Estate Division
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